

Research Policy Committee Minutes
May 16, 2012

Members present: T. Neely, P. Manning, W. Gerstle (chair), S. Lau, C. de Oliveira, D. Hanson, L. Kuuttilla (ex-officio), B. West (OVPR)

Not present: Barkley, Freundsuh, Valdez, Winograd, Y Griego, A. Mousavi

Guest: T. Ross (Faculty Senate Operations Committee)

- Minutes – T. Neely
- Agenda – Approved
- Introductions around the table.
- Minutes from 4/18/2012 – Approved with corrections (see attached)
- Annual Research Lecture Award Subcommittee Update – (Neely) Sub Committee's work done, Stephanie Forrest was selected and the announcement to the campus has been made. (<http://news.unm.edu/2012/04/stephanie-forrest-selected-as-unms-annual-research-lecturer/>)
- Facilities and Administrative (F&A) Subcommittee Update: (Gerstle) – OVPR is in flux until a VPR is hired. B. West will consult with Jv Reenen to determine if RPC subcommittee request for 5 years of information is a priority for OVPR at this time.
 - Clarification of who is in charge of OVPR. J. Fulghum is VPR until May 31, 2012. JvReenen is not interim VPR.
 - Clarification of who is where amongst OVPR, Contract and Grant Accounting, and Pre Award
 - B. West was previously Manager of Contract and Grant Accounting, is now with the OVPR. Carlos Romero is the Associate VP for Research Administration (Pre-Award) and Mikeark Schwantes is Director of Finance Systems and Restricted Accounting (Post-Award).
 - Clarification of how all of these offices work together; difference between Accounting duties in Pre Award and OVPR and Contract and Grant Accounting.
 - B. West will talk to JvReenen about giving the presentation given to the Dean's to the RPC.
 - Briefly discussed whether the title is Vice President for Research or Vice Provost for Research, the history of the title and the clarification of the reporting line of the OVP.
 - ACTION ITEM: To finalize report from the F&A Subcommittee

- Research Allocation Committee Task Force – (T. Ross/Gerstle) R. Hermann not present. R. Hermann is liaison to RAC from RPC. He was tasked with preparing a report. He is still vetting the report and the RAC has not yet seen it. RAC has had the last meeting of the spring 2012 semester. The Faculty Senate Operations Committee has taken over the RAC. Seven members will not be returning. Approximately 5 returning members with others will be meeting to discuss and develop procedures. They will also be reviewing software (CAYUSE) to see if it can automate RAC's work. RAC is responsible for soliciting, reviewing, awarding and tracking internal research grants. As of July 1, there will be 11 members of RAC. Seven of those will be new to RAC.
 - Brief discussion of RAC's relationship with RPC.
 - Discussed RPC Policy Proposal.
 - D. Hanson provided insight into comments he submitted on the proposal prior to the meeting.
 - Proposal writer submits departments (PEGS) to review his/her proposal. In this way, PEGS are selected on an ad hoc basis. You will need to have faculty members who have agreed to be in the expert pool to be called on to review proposals.
 - Funding for this could be based on student technology fee like at the U of Washington.
 - The creation of an I & G Innovation Fund to fund this initiative
 - (Gerstle) This brings up the larger issue of how this increase in funding for internal grants will be funded.
 - (Gerstle) We need to separate the policy in this document from the implementation.
 - (T. Ross) Rather than standing PEGs, committees could be formed based on proposal pressure (# of proposals received). The current RAC system is very complex. One of RAC's responsibilities could be to put the PEGs together.
 - Discussed comments submitted prior to meeting by R. Valdez.
 - Questions the need for a policy from RPC. Recommends the document be a memo to the OVRP.
 - (T. Ross) Reminded the RPC of the charge which includes oversight of internal funding (see: <http://www.unm.edu/~handbook/A61.16.html>) . Agreed the policy should not include implementation.
 - The policy will go into the faculty handbook under the charge of the RPC.
 - Discussion of implementation guidelines vs. policy and what the policy should contain. Confirmed the authority of the RPC to include how much money will be distributed in the policy. (de Oliveira) We should include percentages instead of a dollar amount.
 - (Lau) What is the motivation for the increase in this funding?
 - (T. Ross) The faculty's ability to get external funding is the motivation.
 - ACTION ITEM: Gerstle will work with T. Ross to come up with a paragraph and the RPC will discuss it and vote via email over the summer.

- Centers and Institutes Report. (R. Valdez not present) T. Neely and De Oliveira agreed to contact the chair and let him know the subcommittee is expected to present a report at the September 19th meeting. The report should address what the committee should be doing.
 - T. Ross reports incoming President Frank is very interested in Centers and Institutes. Most centers have no sunset clauses. There are three levels of centers:
 - Departments
 - Schools
 - Report to the Provost
- T. Ross asked the committee for input on the following:
 - The state of New Mexico has not provided UNM with funding to conduct its research mission until this year, although it has done so indirectly. The funding formula is based on outputs and completion. Funding is received for the number of degrees awarded; the number of master's degrees awarded; the number of PhD degrees awarded; the number of master's and PhD degrees awarded in STEM and for certificate programs.

Since I&G comes in under the new formula, should the faculty make a case to the administration to carve out money for the OVPR and for things like this proposal? Staff salaries in the OVPR should be paid from I&G.

RPC was asked to think about this over the summer. There is a task force at the state level meeting this summer to discuss the new funding formula.

Briefly discussed the funding formula and how it would benefit departments.

- Discussed members of RPC and their terms. Can be found on the Faculty Senate website: <http://facgovapp.unm.edu/committee.php?comm=23>
 - Walter Gerstle (chair) – 2012 – extended a year to 2013
 - David Hanson (2011-2014)
 - Stephen Lau (2011-2013)
 - Christina Perry (2011-2014)
 - Peter Winograd (2011-2014) – resigned
 - Cassiano De Oliveira (2010-2013)
 - Patrick Manning (2009-2012)
 - Teresa Neely (2010-2013)
 - Vallabh (Raj) Shah (2011-2014) – resigned
 - Robert Valdez (2009-2012)
 - Ex-Officios
 - Elizabeth Kuuttila
 - Julia Fulghum
 - Richard Larson
 - Michele Huff
 - T. Ross reports they are in the process of filling the committee.

- T. Ross reports the Council Chairs will get a SAC of between \$4 and \$7K. Council Chair of Council RPC is in will be drawn from chairs of RPC, RAC, Intellectual Property Subcommittee of RPC, and University Press.
- Discussed fall meeting times and dates. Brief discussion of whether we should meet strategically before Faculty Senate (meets 4th Tuesday at 2:00 pm); or Policy Committee (meets twice a month), or Faculty Senate Operations Committee (meets weekly), Or Council (will begin meeting July 1st).
 - Agreed to meet the 3rd Wednesday of each month at 8:30 am beginning on September 19, 2012. T. Neely will schedule room.