

Research Policy Committee Minutes
October 17, 2012
8:30-9:30AM
Zimmermann, B48

Members present:

Walter Gerstle (chair)
David Hanson
Cassiano De Oliveira
Cathy Qi
Yu-Lin Shen
Arash Mousavi (GPSA)
Lisa Kuuttila (ex-officio)
Barbara West (OVPR, ex-officio member from office not officially designated on website, representing John McGraw, see comment below under vacant)

Members NOT present:

Teresa Neely (medical leave)
Stephen Lau
Scott Freunds Schuh (teaches Wednesdays at 9 AM)
William Gilbert (in field this semester)
Raj Shah (has conflicting clinic at Zuni)
Richard Larson (ex-officio, HSC Chief Academic Officer)
Michelle Huff (ex-officio, University Patent Lawyer)

Vacant:

Faculty spot from HSC (have requested that Richard Holder select a new member from HSC)
OVPR main campus (have requested that John McGraw be installed as ex-officio member, still not rectified on website)

Guests:

Julie Coonrod (chair of ERAC)
Felicia Rider, OVPR
Matt Gallegos, OVPR
Tim Ross (Faculty Senate Operations Committee)

Minutes: taken by David Hanson, edited by Walter Gerstle

Agenda items and notes below:

- 1. Approval of agenda**
 - 1.1. Approved
- 2. Introductions**
- 3. Approval of Minutes of Sept 19, 2012 meeting**
 - 3.1. approved

4. Annual Research Lecture Award Subcommittee –

4.1. Current members

4.1.1. Teresa Neely (Chair), Scott Freundsuh, David Hanson, Yu-Lin Shen

4.1.2. No objections to membership on subcommittee

4.2. Report from Matt Gallegos

4.2.1. Will have two this year since last year's was selected late.

4.2.2. First: Stephanie Forrest, Thursday Nov. 8th, 2012 5:30-9 pm

4.2.2.1. Hand-out distributed and reviewed by Felicia Rider

4.2.2.1.1. Checklist of progress on track

4.2.2.1.2. Seating limited, NEED TO RSVP

4.2.2.1.2.1. Centennial Engineering Center Auditorium

4.2.2.1.2.2. Problems with audio and seats (broken) need repair

4.2.2.1.2.3. Check plumbing for restrooms

4.2.2.1.2.4. Question Anderson School folks if they had issues

4.3. David Hanson will step in as subcommittee Chair TEMPORARILY

4.3.1. Need to meet with Matt ASAP to get call for nominations before the end of Nov.

4.3.2. Dan Barkley may have valid nominations from last year

4.4. Felicia Rider will revise and share electronic version of checklist for Research Lecture planning by our December meeting. This can then be archived for future use.

5. Facilities and administrative subcommittee:

5.1. Current members: Gerstle, De Oliviera, West, Cathy Qi, William Gilbert, Raj Shah

5.1.1. No objections, but Gilbert and Shah have not attended

5.2. Report from last year still in review

5.3. Suggest working on a policy for F&A distribution

5.3.1. Want faculty should have a voice in the process and this is our goal and charge

5.3.1.1. Identify current expenditures

5.3.1.2. Identify current needs

5.3.1.3. Review alignments of needs and expenditures

5.3.1.3.1. Likely that money is being used wisely, but generating a University-wide policy will create transparency that can also lead to broad support and common focus on ways to improve budget

5.3.1.4. Suggest ways to grow resources: see discussion under item 6 below

5.3.2. Barbara West: Currently 35% goes back to large organizations if they earn more than \$500K in overhead, others get 30%

- 5.3.2.1. There is no UNM official policy, but there is a VPR budget and policy
 - 5.3.2.1.1. VPR budget represents commitments that need to be supported
- 5.3.2.2. Chairs and Deans also make deals etc. since there isn't a University-wide policy
- 5.3.3. Need to consult with President Frank to develop with respect to RCM model
 - 5.3.3.1. There is a Foundation Surcharge of 0.25% in FY 13 and 0.5% in FY 14 and beyond, that is being leveled on expenditures
 - 5.3.3.1.1. Fund 6 accounts: overhead return and restricted accounts are not taxable.
 - 5.3.3.1.2. Having the Fund 6 designation is essential to avoid tax
 - 5.3.3.2. Cathy Qi and Barbara West can be our ERAC representatives too

6. Research Allocation Committee Task Force –

- 6.1. Committee members: Gerstle, Lau, Hanson
 - 6.1.1. No membership objections
 - 6.1.2. Policy is being reviewed by the Deans Council and VPR.
 - 6.1.2.1. Deans and VPR will be meeting with President Frank to discuss the policy
 - 6.1.2.1.1. Faculty Senate representatives also
 - 6.1.2.2. Discussed: Where does the money come from? What is the new model? What are sources beside F&A?
 - 6.1.2.2.1. Need I&G support for research
 - 6.1.2.2.1.1. Consider pursuing line item to get State research support for ALL research institutions in NM.
 - 6.1.2.2.2. Maybe other fund raising: UNM Foundation, others
 - 6.1.2.2.3. Matching funds from outside sources: Example is the Mind Research Network
 - 6.1.3. RPC policy does NOT specify where funding should come from (i.e. how the policy is implemented), rather what the goal should be.

7. RAC Policy subcommittee -

- 7.1. Committee members: Stephen Lau (Chair), Gilbert, Hanson, FreundSchuh, Gerstle
 - 7.1.1. Need an RPC committee member as ex-officio member of RAC: Hanson
 - 7.1.1.1. Gerstle went to the last RAC meeting
- 7.2. First call is out, and electronic submission will occur this time
 - 7.2.1. Cayuse integration may occur next year
 - 7.2.2. New Mind Institute matching
- 7.3. STC is reviewing their gap funding proposals

7.3.1.1. One proposal will be put out for crowd funding

7.4. NEED report on past success from RAC

8. Centers and Institutes Report –

8.1. Committee members: Hanson (Chair), Gerstle, DeOliviera

8.2. Past RPC committee was circulating a document and Cassiano will share documents.

8.3. Ganderton, Stevenson, Dougher were working on a document in the past

8.3.1. The final draft went to the ERAC and stayed there

8.3.1.1. Matt Gallegos will distribute this information to our committee

8.4. School of Engineering has a policy that we should seek.

8.5. What about Arts and Science policy?

8.6. Hanson reported on data readily provided by OVPR

8.6.1. Information on what reports exist

8.6.1.1. The report to ERAC discussed above

8.6.1.2. OVPR report to the Provost on level III Centers.

8.6.2. List of current Centers reporting to OVPR, may need updating

8.7. Working with Provost to get the OVPR report

8.7.1. Vice Provost Dougher will work to get the report for the RPC

8.8. Will continue information gathering for one more month

9. Intellectual Property Subcommittee –

9.1. Committee members: Gerstle (Chair), Arash Musavi, Lisa Kuuttila, others?

9.1.1. Tim Ross suggested some other members who may participate and noted that they DO NOT have to be RPC committee members. Only the subcommittee Chair is required to be an RPC member.

9.2. RPC will review current policies, but no concerns were identified and there was agreement that things seem to be working well.

9.3. Discussed current splits

9.4. \$2.6 M was gross into STC last year. Maybe about \$0.5M came back to UNM

9.5. Will invite Michelle Huff to present on IP at next RPC meeting.

10. Next meeting time and venue

10.1. 8:30AM – 9:30AM, Wednesday, Nov. 21, 2012, Zimmermann, Rm. B48

11. Adjourn