Research Policy Committee Minutes
September 19, 2012
8:30-9:30AM
Zimmermann, B48

Members present:
Walter Gerstle (chair)
David Hanson
Stephen Lau (need to correct department affiliation on website, should be Math)
Lisa Kuuttila (ex-officio)
Barbara West (OVPR, ex-officio member from office not officially designated on website)

Members NOT present:
Scott Freundschuh – (follow up: teaches Wednesdays at 9 AM; wants to serve…)
Christina Perry – (requests to be removed from RPC committee)
Cathy Qi – (sent her an email asking whether she is interested in serving)
Cassiano De Oliveira
William Gilbert – (follow up email: he is in the field this semester)
Teresa Neely (medical leave)
Raj Shah (is still on the committee – has conflicting clinic at Zuni)
Yu-Lin Shen (his email address on email list was wrong – has been corrected)
Richard Larson (ex-officio, HSC Chief Academic Officer)
Michelle Huff (ex-officio, University Patent Lawyer)

Vacant:
Faculty spot from HSC (have requested that Richard Holder select a new member from HSC)
GPSA – Arash Mousavi wants to serve another year. Waiting for approval from GPSA
OVPR main campus (have requested that John McGraw be installed as ex-officio member)

Guests: Tim Ross (Faculty Senate Operations Committee)
                  Dan Barkley (Prior RPC Member)

Minutes: taken by David Hanson, edited by Walter Gerstle

Agenda items and notes below:

1. **Approval of agenda**

   1.1. Approved

2. **Introductions**

   2.1. Noted that there were few replies to the Chair's e-mail on meeting time.

   2.2. Reviewing if current members are active.

3. **Approval of Minutes of May 16, 2012 meeting**

   3.1. Approved with two name corrections

4. **Item not on agenda**

   4.1. Discussed charge of RPC committee

       4.1.1. Discussed comparing overlap with ERAC (the Provost's Advisory Committee, not a Faculty Senate committee). Should we merge into a single committee? ERAC has some extra administrative tasks and is appointed. RPC is not appointed but rather, elected (via monthly Faculty Senate approval). Minimally RPC needs to engage with ERAC better.

5. **Annual Research Lecture Award Subcommittee –**

   5.1. PAST MEMBERS: Teresa Neely, Dan Barkley, Richard Hermann, Craig White

   5.2. NOMINATIONS (some nominations post-meeting, all will be voted on at next meeting): Teresa Neely (Chair), Scott Freundschuh, David Hanson, Yu-Lin Shen

   5.3. Report from Dan Barkley

       5.3.1. Last year Stephanie Forrest was selected late in the year due to delays in getting the RPC meeting. Therefore, last year's award talk will be the first week of November this year.

       5.3.2. Need to get the call for nominees out in October this year and get faster turn-around. After selection, Matt Gallegos at the OVPR will help with logistics for the RLA (Research Lecture Award).

6. **Facilities and administrative subcommittee:**

   6.1. PAST MEMBERS: Gerstle, De Oliveira, Zimmer, Patrick Maning, Carlos Romero

   6.2. NOMINATIONS (some nominations post-meeting, all will be voted on at next meeting): Gerstle, De Oliviera, West, Gilbert, Shah, ?

   6.3. Report from Walter Gerstle: Last year the subcommittee tried to get an independent assessment of F&A use to compare with OVPR statement. No irregularities found. Full report is forthcoming.

7. **Research Allocation Committee Task Force –**

   7.1. PAST MEMBERS: Walter Gerstle, Stephen Lau

   7.2. NOMINATIONS (some nominations post-meeting, all will be voted on at next meeting): Gerstle, Lau, Hanson, ?

   7.3. Report from Walter Gerstle: RPC policy for RAC developed by RPC committee over the summer. Should come up for Faculty Senate review soon, check with Amy Neel of Operations Committee.

8. **RAC Policy subcommittee -**

   8.1. PAST MEMBERS: Stephen Lau (Chair), David Hanson, Patrick Manning

   8.2. NOMINATIONS (some nominations post-meeting, all will be voted on at next meeting): Stephen Lau, Gilbert, FreundSchuh? Need an RPC committee member as ex-officio member of RAC.

8.3. Report from Tim Ross: Peter Vorobieff is the new RAC Chair. Call is being revamped with one or two expected this year. New guidelines are apparently written but may not be posted.

    8.3.1. Goal is to increase funding of RAC from $150K to $1.5 million over 5 years, though path to achieve the goal has not been determined. Administration has shown interest in the concept.

    8.3.2. Fundamental question to be answered is should F&A be distributed by administrators or by elected faculty committee. This issue requires further discussion. Point raised the goals of the funding should determine who distributes it. For example, if some RAC funding is designed to facilitate garnering new external grants, then faculty who have been successful in getting external grants should be in charge of distributing funds.

        8.3.2.1. STC gap funding follows a similar principle. They work with OVPR to fund a 2-4 of about 20 applications each year that are aimed to advance projects that are too applied for NSF but too risky for businesses. Their committee is a mix of members that include faculty and members of the business community. They also solicit the community directly to support ideas.

        8.3.2.2. Lisa Kuuttila mentioned that STC is exploring crowd funding options and will share efforts with RPC at next meeting. This could be a great avenue to add to RAC funding.

        8.3.2.3. Reporting for RAC is critical for its growth and success. Benefit must be demonstrated and current reporting system is not functional.

            8.3.2.3.1. STC will add question on their disclosure forms asking if RAC support helped develop ideas.

            8.3.2.3.2. Cayuse needs to have a box to check if RAC funding was used to develop each proposal and then provide a link to the RAC grant. This would allow direct tracking of proposals submitted as a result of RAC and of those funded. Potentially, proposals funded due to RAC investment could have a set fraction of their overhead allocated to the RAC funding account as a way to grow it.

9. Centers and Institutes Report –

9.1. PAST MEMBERS: Robert Valdez, chair

9.2. NOMINATIONS (some nominations post-meeting, all will be voted on at next meeting): Hanson (Chair), Gerstle, DeOliviera, others?

9.3. No report but discussion that this it is a clear charge of the RPC to review centers and institutes.

    9.3.1. President Frank would like a new policy for starting and sun setting centers, etc.

    9.3.2. Unclear if ERAC gets reports from centers. However, most centers likely have annual reports that could be collected. No-one on the committee is familiar with the current structure. OVPR has a list of centers that report to their office and some are in Fac Book. It is unkown if the 3 level structure still exists: level III University

centers, level II College centers, level I Department centers. Difference between centers, institutes, and service centers is unclear. CASA, CHTM, CMEM are thought to be level III centers, CARC is thought to be a service center.

9.3.3. Sub-committee charged with sorting out what current centers and institutes exist, what their charters are, collecting reports on what they do and what F&A agreements they have, and developing a unified policy on creation and elimination of new centers and institutes.

10. Intellectual Property Subcommittee -

10.1. PAST MEMBERS: none

10.2. NOMINATIONS (some nominations post-meeting, all will be voted on at next meeting): Gerstle will meet with Michele Huff before next meeting to discuss IP and determine if a new subcommittee is warranted.

10.3. There has been a non-functional Faculty Senate committee for IP and the RPC subcommittee role was thought to be for developing general policy. Faculty Senate committee was thought to be needed to resolve faculty disputes, but the administration has handled this issue. It was noted that this is really in the realm of the Faculty Senate Ethics Committee. STC has been taking care of campus IP issues and is best equipped to do so. It was suggested that the Research Council should propose to make the Faculty Senate IP committee into a subcommittee of the RPC. The RPC subcommittee would review IP policy and evaluate faculty disputes to determine they need to be referred to the Faculty Senate Ethics committee.

11. Next meeting time and venue

11.1.   8:30AM – 9:30AM, Wednesday, October, 17, 2012, Zimmermann, Rm. B48

12. Adjourn